Market Abuse Regulation (MAR) - Theory and practice

GOALS

Market abuse undermines the integrity of financial markets and public confidence in financial instruments. Vigilance and recognising indicators is therefore essential. To do this, it is important to fully understand the regulations on avoiding market manipulation and insider trading ('MAR' or market abuse regulation).

This E-learning aims to:

- outline the legal framework;
- explain the professional duty of vigilance;
- identify the most common indicators;
- underline the integrity policy and reputation;
- go through the procedures in case of non-compliance.

SUMMARY

Category:

- Compliance & audit

Difficultylevel:

Basic

Certification type:

E-learning

Price:

- Member: € 95.00
- Non member: € 115.00
- Partner BZB: € 95.00
- Incompany: custom tailored, prices on demand

CPD hours:

- Bank: **1h** sector specific
- Compliance: **1h**

INTENDED AUDIENCE

The training course can be followed by various target groups:

- compliance officers;
- internal auditors;
- legal department staff;
- bank employees;
- ...

FOREKNOWLEDGE

Basic level training: this training requires no prior knowledge and covers the basics of the subject.

CONTENT

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- Introduction: applicable legislation and circulars on 'market abuse'.
  - *Please note that the text of some legislation is not available in English. In this case, reference is made to Dutch or French versions.*
- The different forms of market abuse
  - Insider trading
    - Definition
    - Characteristics
    - Obligations and prohibitions
  - Unlawful disclosure of inside information
    - Definition
    - Characteristics
    - Obligations and prohibitions
  - Market manipulation
    - Definition
    - Characteristics
    - Obligations and prohibitions
  - Recognising market manipulation
- The role of the regulator (FSMA) and sanctions

PRACTICAL INFORMATION

- **Duration:** 1 hour
- **Course material:** an interactive module
- **Please note that the text of some legislation is not available in English. In this case, reference is made to Dutch or French versions.**
- **CPD hours:** Each module includes an online test consisting of multiple-choice questions. Obtaining a positive result on the accompanying test is required to earn CPD hours. You are entitled to 2 attempts to pass the test. If you do not pass (result lower than 60%), you have acquired additional knowledge, but are not entitled to CPD hours. We therefore recommend that you take the test only when you are sure you master the subject matter.

METHODOLOGY

An **'E-learning'** is 100% self-study. You log in individually on the MyFA learning platform and process, at your own pace, learning content that is provided to you via an interactive presentation. You can follow this online training wherever, whenever and as often as you want. The teaching material consists of a digital format with text, video, images, animations, test questions and/or references to relevant documents and/or websites.