MiFID - best execution

GOALS

The objective of this training course is to gain insight into:

- the regulatory developments as concerns ‘best execution’ obligations;
- the practical set-up of ‘best execution’ rules and procedures;
- carrying out checks on compliance with the ‘best execution’ obligation.

SUMMARY

Category:

- Compliance & audit

Difficultylevel:

Expert

Certification type:

In class training

Price:

- Member: € 345.00
- Non member: € 380.00
- Partner BZB: € 345.00
- Incompany: custom tailored, prices on demand

CPD hours:

- Compliance: **3h**

INTENDED AUDIENCE

The training course can be followed by various target groups:

- Compliance officers;
- Internal audit employees;
- Internal control employees;
- Front-office employees.

FOREKNOWLEDGE

Expert level: specifically explains a given subject in detail. In order to take a training course at this level you must have sufficient prior knowledge (expertise).

CONTENT

CONTENTS

- Brief review of the existing obligations: theory and practice based on, among other things, recent guidance documents (ESMA, FCA, etc.);
- Looking ahead to developments in MiFID II/MiFIR: state of regulation and challenges;
- Considerations and practical tips for carrying out checks in terms of 'best execution'.

PRACTICAL INFORMATION

- **Duration:** ½ journée de formation (3 heures de formation)
- **Time:** 13:30 tot 17:00
- **Location:** Febelfin Academy : Phoenix building, Boulevard du Roi Albert II 19, 1210 Bruxelles
- **Additional information:** This training course will be given in English

METHODOLOGY

You follow a **'Classroom training'** in a group. You, the other participants and the teacher are all present in the same classroom at an agreed time. There is an opportunity for interaction and feedback, both from the participants to the teacher and vice versa. The teaching material consists as a basis of a presentation via the MyFA learning platform, supplemented with various other items (such as digital syllabus, presentation, audiovisual fragments, etc.).

Teaching materials: PowerPoint presentation (slides)